## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Attorney Docket 65944

Volkmar HEUER

Appln. No. Not Assigned

Group Art Unit: Not Assigned

Confirmation No.: Not Assigned

Examiner: Not Assigned

Filed: September 25, 2001

For:

TRANSPORT MODULE FOR SDH/SONET

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT Attorney Docket Q65944

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Communication from a Foreign Patent Office citing such documents, together with an English-language version (if not already included) of that portion of the Communication from a Foreign Patent Office indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: September 25, 2001

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| Form PTÓ-1449<br>(Rev. 2-32)  |          | U.S. Department of Commerce<br>Patent & Trademark Office  |                 | Atty. Docket No.                   | Serial No.:  Confirmation No.: Not Assigned |               |                                 |
|---|----------|---|-----------------|------------------------------------|---|---------------|---------------------------------|
|   |          |   |                 | Q65944                             |   |               |                                 |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)                        |          |   |                 | Applicant: Volkmar HEUER           |   |               |                                 |
|   |          |   |                 | Filing Date:<br>September 25, 2001 | Group:<br>Not Ass                           | igned         | .821<br>09/                     |
|   |          |   | U.S. PATE       | ENT DOCUMENTS                      |   |               | r ≡                             |
| Examiner<br>Initial   |          | Document Number   | Date            | Name                               | Class                                       | Sub-<br>Class | Filing Date<br>(if appropriate) |
|   |          |   |                 |                                    |   |               |                                 |
|   |          |   |                 |                                    |   |               |                                 |
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|   |          |   |                 |                                    |   |               |                                 |
|   |          |   | FOREIGN PA      | ATENT DOCUMENTS                    |   |               |                                 |
|   |          | Document  | Date            | Country                            | Class                                       | Sub-<br>class | Translation<br>Yes/No           |
|   |          | DE 198 13 167 A1  | 09/30/99        | Germany                            |   |               | No                              |
|   |          |   |                 |                                    |   |               |                                 |
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|   |          |   |                 |                                    |   |               |                                 |
|   |          |   |                 |                                    |   |               |                                 |
|   |          | OTHER DOCUME  | NTS (Includin   | g Author, Title, Date, Per         | tinent Pages                                | s, Etc.)      |                                 |
|   |          | ITU-T - G.707 - March 1996, Series G: Transmission Systems and Media - Network Node Interface for the Synchronous Digital Hierarchy (SDH) |                 |                                    |   |               |                                 |
|   |          |   |                 |                                    |   |               |                                 |
|   |          |   |                 |                                    |   |               |                                 |
| EXAMINER:   | <u> </u> |   |                 | DATE CONSIDERED                    | D:  |               |                                 |
| EXAMINER:   | Initia   | al if citation considered, w  | hether or not c |                                    |   | 609; draw     | line through citation if        |
| not in conformance and not considered. Include copy of this form with next communication. |          |   |                 |                                    |   |               |                                 |